

## Anaesthesia recommendations for patients suffering from

### Goldenhar syndrome

**Disease name:** Goldenhar syndrome

**ICD 10:** Q87.0

**Synonyms:** Oculo-Auriculo-Vertebral (OAV) syndrome / sequence, Facio-Auriculo-Vertebral syndrome, Goldenhar-Gorlin syndrome

In 1952, Maurice Goldenhar published a case collection of congenital mandibulo-facial malformations with or without epibulbar dermoids, auricular appendages and auricular fistulas. With the attempt to systematically classify these malformations, he described for the first time what later became known as the Goldenhar syndrome.

Goldenhar syndrome is a variant of the Oculo-Auriculo-Vertebral spectrum. It consists of hemifacial microsomia (HFM), epibulbar dermoids and vertebral anomalies. Major manifestations of HFM are orbital distortion, mandibular hypoplasia, ear anomalies, nerve involvement and soft tissue deficiency (OMENS classification). In addition, patients with Goldenhar syndrome can present with heart, kidney and lung malformations as well as limb deformities. Depending on the organs affected and the severity of the malformations the phenotype is highly variable (Table).

The exact cause of Goldenhar syndrome is unknown but considered to be multifactorial, i.e. a combination of gene interactions and environmental factors that causes a maldevelopment of the first and second branchial arches during the first trimester of pregnancy. Males are affected more often than females (3:2). About 10-30% of patients have bilateral, usually asymmetric facial microsomia. There is no agreement on the incidence of Goldenhar syndrome in the literature. Reports vary between 1: 3000 - 5000 and 1: 25.000 - 40.000.

---

Medicine in progress



Perhaps new knowledge

Every patient is unique

Perhaps the diagnostic is wrong

---



Find more information on the disease, its centres of reference and patient organisations on Orphanet: [www.orpha.net](http://www.orpha.net)

## Typical surgery

---

- Craniofacial surgery
- Orofacial surgery
- Ear reconstruction
- Excision of periauricular skin tags
- Surgery for conductive or sensorineural hearing loss
- Ophthalmologic surgery
- Spine surgery

## Type of anaesthesia

---

General anaesthesia might be the only feasible anaesthetic regimen given the nature of the most common surgical interventions and the young age these procedures are usually performed.

Neuroaxial anaesthesia techniques might be difficult or contraindicated depending on individual spine malformations (hemivertebrae, block vertebrae, spina bifida).

Regional anaesthesia or local anaesthesia can be considered if the surgical procedure allows for it. A recent case report described the successful use of an axillary nerve block for placement of a peripherally inserted central catheter (PICC) in a 2 months old girl with severe Goldenhar syndrome. However, intraoperative intubation carries significant morbidity in a patient with a difficult airway compared to an electively scheduled difficult airway management.

Sedation and premedication should only be done after very careful evaluation of the individual risk of airway obstruction, difficult ventilation, and difficult intubation.

## Necessary additional diagnostic procedures (preoperative)

---

Congenital heart defects have been reported in 5-58% of patients with Goldenhar syndrome. Septal defects and conotruncal malformations such as tetralogy of Fallot are the most commonly seen congenital cardiac malformations in this population. Situs inversus and looping defects are also reported. All patients with Goldenhar syndrome should undergo one-time cardiac evaluation. Based on these results and a careful preoperative clinical evaluation, additional cardiac function testing might be indicated before surgery.

Pulmonary function can be compromised by partial or complete unilateral lung hypoplasia. Pulmonary hypoplasia might increase the risk for respiratory infections, pulmonary hypertension and pneumothorax. In addition, severe spinal deformities might cause restrictive lung disease and further decrease pulmonary function. One-time pulmonary evaluation for possible malformations is, therefore, necessary. A careful preoperative clinical

evaluation of respiratory function is recommended and in conjunction with possible pulmonary malformations further pulmonary function testing might be warranted.

One feature of Goldenhar syndrome is vertebral anomalies. Failure of vertebral segmentation of the cervical spine such as occipitalization and cervical vertebral fusion can be relevant for anaesthesia as it might aggravate an already difficult intubation. Radiologic evaluation of the cervical spine should be considered as well as complete spine imaging in case a neuroaxial technique is planned.

Nargozián et al. showed in a retrospective evaluation a significant association of the severity of the mandibular hypoplasia and the degree of difficulty with intubation. Based on these findings, imaging of the mandibular hypoplasia could be considered prior to surgery as part of the individual difficult airway algorithm. Case reports suggest that preoperative 3D computer tomography of the airway is helpful for difficult airway management.

Sleep-related upper and lower airway obstruction (obstructive sleep apnea: OSA) due to anatomical and functional factors are common in patients with major craniofacial anomalies. Clinical indicators such as snoring should be taken seriously as they might predict difficult mask ventilation as well as airway obstruction upon emergence from anaesthesia. Further upper airway evaluation might be indicated preoperatively as anatomical anomalies such as adenoid or tonsillar hypertrophy might contribute to airway obstruction and can possibly be approached surgically.

Genitourinary malformations such as ectopic or fused kidneys, renal agenesis, ureteropelvic junction obstruction or vesicoureteral reflux might be associated with Goldenhar syndrome. Although the malformation might not necessarily impact renal function it might increase the risk for urinary tract infection (UTI). It might be helpful to be aware of possible kidney malformations prior to major surgery with expected large fluid shifts, prolonged urinary catheter placement and postoperative ICU admission.

---

### **Particular preparation for airway management**

---

Anticipation of difficult airway management is of highest importance in patients with Goldenhar syndrome. In 1998, Sculerati reported a chart review of patients with major craniofacial bony anomalies that included 41 patients with the oculo-auriculo-vertebral sequence. Of those, 9 patients (22%) required a surgical airway perioperatively.

A detailed and relevant history and a preoperative airway examination are crucial. The exam should include assessment of mouth opening, size of the tongue, dental status, palate and mandibular shape, intraoral soft tissue masses such as adenoids or protruding tonsils, and neck range of motion.

Possible difficult mask ventilation, difficult laryngoscopy and difficult intubation should be anticipated and discussed in advance. An individually designed difficult airway algorithm should be communicated to all participating providers. Difficult airway equipment including supraglottic devices and a size appropriate fiberoptic device should be readily available. A video laryngoscope has been proven to be useful in some cases of difficult airway management. Equipment and expertise to secure the airway surgically should be in place.

---

### **Particular preparation for transfusion or administration of blood products**

---

No special considerations.

---

### **Particular preparation for anticoagulation**

---

No special considerations.

---

### **Particular precautions for positioning, transport or mobilisation**

---

No special considerations.

---

### **Probable interaction between anaesthetic agents and patient's long term medication**

---

No special considerations.

---

### **Anaesthesiologic procedure**

---

There is no evidence that any anaesthetic regimen is safer than another. The administration of volatile anaesthetics with or without muscle relaxants as well as longer acting opioids should be carefully considered because of their potential to delay airway recovery. If postoperative pain is expected to be controlled without high levels of opioids, remifentanyl-based anaesthesia may be a good choice. Complete reversal of muscle relaxation with sugammadex is recommended.

Propofol is not known to cause much muscle relaxation; however, it suppresses cardiac output and contractility and may not be a good option in patients with cardiac disease.

---

### **Particular or additional monitoring**

---

Cardiac and / or pulmonary morbidity may warrant placement of an arterial line.

---

### **Possible complications**

---

Can-not-intubate-can-not-ventilate situation:

The most important consideration for general anaesthesia in patients with Goldenhar syndrome is difficult airway management. Factors that contribute to a difficult airway are mandibular hypoplasia, cleft lip/palate and craniovertebral anomalies. The difficulty of intubation increases with age and bilateral mandibular hypoplasia.

Sleep-related upper and lower airway obstruction (obstructive sleep apnea: OSA) due to anatomical and functional factors are common in patients with major craniofacial anomalies. In combination with facial malformations, mask ventilation may be difficult.

Emergence from general anaesthesia can again be complicated by airway obstruction.

---

### **Postoperative care**

---

Obstructive sleep apnea in combination with volatile anaesthetics, muscle relaxants and opioids puts patients with Goldenhar syndrome at high risk for postoperative hypoxic events. Before tracheal extubation reversal of muscle relaxation and complete recovery of airway reflexes should be confirmed. Prolonged postoperative monitoring, especially during opioid administration for pain management, is strongly recommended. Postoperative recovery in the ICU may be indicated.

---

### **Information about emergency-like situations / Differential diagnostics**

---

*caused by the illness to give a tool to distinguish between a side effect of the anaesthetic procedure and a manifestation of the disease*

Obstructive sleep apnea is common in patients with major craniofacial malformations. Anaesthetic agents and opioids likely aggravate airway obstruction in the early postoperative period.

A recent publication of two case reports suggested that congenital malformations of the middle ear and auditory canals can cause recurrent infections of the middle ear, sinusitis and meningitis. These conditions should be included in the differential diagnoses for perioperative fever and infection.

---

### **Ambulatory anaesthesia**

---

Eligibility for ambulatory surgery strongly depends on the severity and localization of malformations. In any case, possible postoperative upper airway obstruction and the need for prolonged monitoring after general anaesthesia should be taken into account when evaluation for ambulatory anaesthesia.

---

### **Obstetrical anaesthesia**

---

No special considerations.

## Literature and internet links

1. Goldenhar M. Associations malformatives de l'oeil et de l'oreille : en particulier le syndrome dermoïde épibulbaire-appendices auriculaires-fistula auris congenita et ses relations avec la sysostose mandibulo-faciale. *J Genet Hum.* 1952;1: 243–282
2. Vento AR, LaBrie RA, Mulliken JB. The OMENS classification of hemifacial microsomia. *The Cleft Palate-Craniofacial Journal.* 1991;28(1):68-77
3. Bernardo-Ocampo M. Axillary Block as the Sole Anesthetic for Peripherally Inserted Central Catheter Placement in an Infant with Goldenhar Syndrome. *Case reports in anesthesiology.* 2013; 2013:956807
4. Butler MG, Hayes BG, Hathaway MM, Begleiter ML. Specific genetic diseases at risk for sedation/anesthesia complications. *Anesthesia & Analgesia.* 2000;91(4):837-55
5. Madan R, Trikha A, Venkataraman R, Batra R, Kalia P. Goldenhar's syndrome: an analysis of anaesthetic management A retrospective study of seventeen cases. *Anaesthesia.* 1990;45(1):49-52
6. Digilio MC, Calzolari F, Capolino R, Toscano A, Sarkozy A, de Zorzi A, et al. Congenital heart defects in patients with oculo-auriculo-vertebral spectrum (Goldenhar syndrome). *American Journal of Medical Genetics Part A.* 2008;146(14):1815-9
7. Downing G, Kilbride H. An interesting case presentation: pulmonary malformations associated with oculoauriculovertebral dysplasia (Goldenhar anomalad). *Journal of perinatology: official journal of the California Perinatal Association.* 1991;11(2):190-2
8. Pierpont MEM, Moller JH, Gorlin RJ, Edwards JE. Congenital cardiac, pulmonary, and vascular malformations in oculoauriculovertebral dysplasia. *Pediatric cardiology.* 1982;2(4):297-302
9. Anderson PJ, David DJ. Spinal anomalies in Goldenhar syndrome. *The Cleft Palate-Craniofacial Journal.* 2005;42(5):477-80
10. Darling DB, Feingold M, Berkman M. The roentgenological aspects of Goldenhar's syndrome (oculoauriculovertebral dysplasia). *Radiology.* 1968;91(2):254-60
11. Al Kaissi A, Chehida FB, Ganger R, Klaushofer K, Grill F. Distinctive spine abnormalities in patients with Goldenhar syndrome: tomographic assessment. *European Spine Journal.* 2014:1-6
12. Nargoziyan C, Ririe DG, Bennun RD, Mulliken JB. Hemifacial microsomia: anatomical prediction of difficult intubation. *Pediatric Anesthesia.* 1999;9(5):393-8
13. Suzuki E, Hirate H, Fujita Y, Sobue K. Successful airway management in a patient with Goldenhar syndrome using preoperative three-dimensional computed tomography. *Anaesthesia and intensive care.* 2011;39(4):767-8
14. Ames WA, Macleod DB, Ross AK, Marcus J, Mukundan Jr S. The novel use of computer-generated virtual imaging to assess the difficult pediatric airway. *Anesthesia & Analgesia.* 2007;104(5):1154-6
15. Luna-Paredes C, Antón-Pacheco JL, García Hernandez G, Martínez Gimeno A, Romance García AI, García Recuero II. Screening for symptoms of obstructive sleep apnea in children with severe craniofacial anomalies: Assessment in a multidisciplinary unit. *International journal of pediatric otorhinolaryngology.* 2012;76(12):1767-70.
16. Engiz O, Unsal M. 31 Cases with Oculoauriculovertebral Dysplasia (Goldenhar Syndrome): Clinical. *Genetic Counseling.* 2007;18(3):277-288
17. Sculerati N, Gottlieb MD, Zimble MS, Chibbaro PD, McCarthy JG. Airway management in children with major craniofacial anomalies. *The Laryngoscope.* 1998;108(12):1806-12
18. Altintas F, Cakmakkaya OS. General anesthesia for a child with Goldenhar syndrome. *Paediatr Anaesth.* 2005 Jun;15(6):529-30
19. De Golovine S, Wu S, Hunter JV, Shearer WT. Goldenhar syndrome: a cause of secondary immunodeficiency? *Allergy, Asthma & Clinical Immunology.* 2012;8(1):10
20. Morrison J, Mulholland H, Craig B, Nevin N. Cardiovascular abnormalities in the oculo-auriculo-vertebral spectrum (Goldenhar syndrome). *American journal of medical genetics.* 1992;44(4):425-8
21. <https://www.rarediseases.org/rarediseaseinformation/rarediseases/byID/346/viewFullReport>
22. <http://emedicine.medscape.com/article/844209-overview>.

---

**Last date of modification: December 2014**

---

*These guidelines have been prepared by:*

**Author**

**Ozlem Serpil Cakmakkaya**, Anaesthesiologist, University of Istanbul Cerrahpasa Medical Faculty, Istanbul, Turkey  
[sercakkaya@hotmail.com](mailto:sercakkaya@hotmail.com)

**Co-Author**

**Kerstin Kolodzie**, Anaesthesiologist, University of California San Francisco, USA

**Peer revision 1**

**Zulfiqar Ahmed**, Anaesthesiologist, Ann Arbor Oakwood Hospital, Dearborn, USA  
[zahmedz@yahoo.com](mailto:zahmedz@yahoo.com)

**Peer revision 2**

**Amar Taksande**, Paediatrician, Jawaharlal Nehru Medical College, Sawangi Meghe, Wardha, Maharashtra, India  
[amar.taksande@gmail.com](mailto:amar.taksande@gmail.com)

---

## **Amendment 1**

<b>Classic Goldenhar Triad:</b>	
Hemifacial microsomia (HFM)  <b>OMENS</b> criteria	<b>Orbital distortion</b>
	<b>Mandibular hypoplasia</b>
	<b>Ear anomalies:</b> <ul style="list-style-type: none"> <li>• Microtia</li> <li>• Low set ears</li> <li>• Preauricular skin tags/pits</li> <li>• External auditory canal agenesis/stenosis</li> <li>• Middle ear malformations with conductive hearing loss</li> </ul>
	<b>Nerve involvement:</b> <ul style="list-style-type: none"> <li>• Facial nerve palsy</li> <li>• Auditory nerve dysfunction with sensorineural hearing loss</li> </ul>
	<b>Soft tissue deficiency (1)</b>
Ophthalmologic malformations	<ul style="list-style-type: none"> <li>• Ocular dermoids</li> <li>• Eyelid coloboma</li> <li>• Microphthalmia</li> <li>• Blepharoptosis (2-5)</li> </ul>
Vertebral anomalies	<ul style="list-style-type: none"> <li>• Cervical spine instability</li> <li>• Spinal fusion</li> <li>• Hemivertebra with scoliosis</li> <li>• Spina bifida (6-10)</li> </ul>
<b>Additional malformations associated with Goldenhar syndrome:</b>	
Cardiovascular System	<ul style="list-style-type: none"> <li>• Atrial and ventricular septal defects</li> <li>• Conotruncal defects</li> <li>• Targeted growth defects</li> <li>• Situs and looping defects</li> <li>• Obstructive lesion</li> <li>• Patent ductus arteriosus (11-14)</li> </ul>
Respiratory System	<ul style="list-style-type: none"> <li>• Pulmonary hypoplasia/aplasia/agenesis</li> <li>• Costal agenesis</li> <li>• Tracheobronchial abnormalities</li> <li>• Laryngomalacia</li> <li>• Tracheoesophageal fistula</li> <li>• Oesophageal atresia (11, 12, 15-21)</li> </ul>
Urinary System	<ul style="list-style-type: none"> <li>• Ectopic and/or fused kidneys</li> <li>• Vesicoureteral reflux</li> <li>• Ureteropelvic junction obstruction</li> <li>• Ureteral duplication</li> <li>• Renal agenesis</li> <li>• Multicystic kidney (22-24)</li> </ul>
Nervous System	<ul style="list-style-type: none"> <li>• Mental retardation</li> <li>• Neuropsychomotor delay</li> <li>• Developmental delay due to hearing impairment (14, 25, 26)</li> </ul>
Extremities	<ul style="list-style-type: none"> <li>• Thump and radius anomalies</li> <li>• Talipedes (10, 27)</li> </ul>
Orofacial	<ul style="list-style-type: none"> <li>• Dental abnormalities</li> <li>• Cleft lip/palate</li> <li>• Macrostomia (9)</li> </ul>



## References of the amendment

1. Vento AR, LaBrie RA, Mulliken JB. The OMENS classification of hemifacial microsomia. *The Cleft Palate-Craniofacial Journal*. 1991;28(1):68-77.
2. del Rocio Arce Gonzalez M, Navas A, Haber A, Ramírez-Luquán T, Graue-Hernández E. Ocular dermoids: 116 consecutive cases. *Eye & contact lens*. 2013;39(2):188-91.
3. Martelli H Jr, Miranda RT, Fernandes CM, Bonan PR, Paranaíba LM, Graner E, et al. Goldenhar syndrome: clinical features with orofacial emphasis. *Journal of Applied Oral Science*. 2010;18(6):646-9.
4. Mansour AM, Wang F, Henkind P, Goldberg R, Shprintzen R. Ocular findings in the facioauriculovertebral sequence (Goldenhar-Gorlin syndrome). *Am J Ophthalmol*. 1985;100(4):555-9.
5. Baum JL, Feingold M. Ocular aspects of Goldenhar's syndrome. *Am J Ophthalmol*. 1973;75(2):250-7.
6. McKay SD, Al-Omari A, Tomlinson LA, Dormans JP. Review of cervical spine anomalies in genetic syndromes. *Spine*. 2012;37(5):E269-E77.
7. Anderson PJ, David DJ. Spinal anomalies in Goldenhar syndrome. *The Cleft Palate-Craniofacial Journal*. 2005;42(5):477-80.
8. Healey D, Letts M, Jarvis JG. Cervical spine instability in children with Goldenhar's syndrome. *Canadian journal of surgery*. 2002;45(5):341.
9. Madan R, Trikha A, Venkataraman R, Batra R, Kalia P. Goldenhar's syndrome: an analysis of anaesthetic management A retrospective study of seventeen cases. *Anaesthesia*. 1990;45(1):49-52.
10. Avon SW, Shively JL. Orthopaedic manifestations of Goldenhar syndrome. *Journal of pediatric orthopedics*. 1987;8(6):683-6.
11. Digilio MC, Calzolari F, Capolino R, Toscano A, Sarkozy A, de Zorzi A, et al. Congenital heart defects in patients with oculo-auriculo-vertebral spectrum (Goldenhar syndrome). *American Journal of Medical Genetics Part A*. 2008;146(14):1815-9.
12. Rosa RFM, Dall'Agnol L, Zen PRG, Pereira VLcB, Graziadio C, Paskulin GA. Oculo-auriculo-vertebral spectrum and cardiac malformations. *Revista Da Associacao Medica Brasileira*. 2010;56(1):62-6.
13. Strömmland K, Miller M, Sjögren L, Johansson M, Joelsson BME, Billstedt E, et al. Oculo-auriculo-vertebral spectrum: Associated anomalies, functional deficits and possible developmental risk factors. *American Journal of Medical Genetics Part A*. 2007;143(12):1317-25.
14. Morrison J, Mulholland H, Craig B, Nevin N. Cardiovascular abnormalities in the oculo-auriculo-vertebral spectrum (Goldenhar syndrome). *American journal of medical genetics*. 1992;44(4):425-8.
15. Ermis B, Oztas N, Yildirim M, Hosnuter M, Yavuz T. Pulmonary hypoplasia in a newborn with Goldenhar syndrome. *The Journal of pediatrics*. 2010;156(1):161.

16. Cekmez F, Ince E, Coban A, Yildirim S, Bulut E, Kayserili H. Goldenhar syndrome: a new case expanding the phenotype by costal agenesis and pulmonary hypoplasia. *European review for medical and pharmacological sciences*. 2012;16(9):1307-8.
17. Milani D, Selicorni A. Right pulmonary agenesis with ipsilateral microtia: a new laterality association or part of the oculoauriculovertebral spectrum? *Prenatal diagnosis*. 2002;22(11):1053-4.
18. Fischer M, Gorenflo M, Eichhorn J. First description of unilateral lung agenesis and anomalous pulmonary venous connection in a newborn with Goldenhar syndrome. *Cardiology in the Young*. 2012;22(03):344-5.
19. Kenawi M, Dickson J. Aplasia of the right lung and calcifying epithelioma in association with Goldenhar's syndrome. *Postgraduate medical journal*. 1976;52(607):312-5.
20. Culnane T, Hullett B, Farrell T. Pitfalls in pediatric tracheostomy: a case report. *Pediatric Anesthesia*. 2006;16(12):1281-4.
21. Digilio MC, McDonald-McGinn DM, Heike C, Catania C, Dallapiccola B, Marino B, et al. Three patients with oculo-auriculo-vertebral spectrum and microdeletion 22q11. 2. *American Journal of Medical Genetics Part A*. 2009;149(12):2860-4.
22. Ritchey ML, Norbeck J, Huang C, Keating MA, Bloom DA. Urologic manifestations of Goldenhar syndrome. *Urology*. 1994;43(1):88-91.
23. Stanojević M, Stipoljev F, Koprčina B, Kurjak A. Oculo-auriculo-vertebral (Goldenhar) spectrum associated with pericentric inversion 9: coincidental findings or etiologic factor? *Journal of craniofacial genetics and developmental biology*. 2000;20(3):150.
24. Al Naimi A, Baumüller JE, Spahn S, Bahlmann F. Prenatal diagnosis of multicystic dysplastic kidney disease in the second trimester screening. *Prenatal diagnosis*. 2013;33(8):726-31.
25. Touliatou V, Fryssira H, Mavrou A, Kanavakis E, Kitsiou-Tzeli S. Clinical manifestations in 17 Greek patients with Goldenhar syndrome. *Genetic counseling (Geneva, Switzerland)*. 2005;17(3):359-70.
26. Rosa RFM, Graziadio C, Lenhardt R, Alves RPM, Paskulin GA, Zen PRG. Central nervous system abnormalities in patients with oculo-auriculo-vertebral spectrum (Goldenhar syndrome). *Arquivos de neuro-psiquiatria*. 2010;68(1):98-102.
27. Biswas S, Adhikari A, Meyur R, Kundu P. A rare case of Goldenhar syndrome with radial aplasia. *Folia morphologica*. 2013;72(4):362-5.